



IFW

**PATENT**  
Attorney's Docket No. JJK-0330

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL FORM**

In re application of: Ian A. Cody, et al.  
Serial No: 10/678,689  
Filed: October 3, 2003  
For: **PROCESS FOR PREPARING BASESTOCKS  
HAVING HIGH VI**

Examiner: Unknown

Group Art Unit: 1764

**The Honorable Commissioner for Patents**  
**P. O. Box 1450**  
**Alexandria, VA 22313-1450**

Sir:

Transmitted herewith is a SUPPLEMENTAL Information Disclosure Statement, PTO 1449 form, and copies of cited references, if applicable.

This Information Disclosure Statement is submitted in accordance with the requirements of 37 CFR 1.56, 1.97 and 1.98, before the mailing date of the First Office Action. No fee is due.

07/13/04

Date

  
Attorney

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Annandale, NJ 08801-0900

**CERTIFICATION OF MAILING (37 CFR 1.8 (a))**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

Judy Gould

(Name of person mailing paper)

  
(Signature of person mailing paper)7/14/04

(Date)



"PATENT"

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:	§	EXAMINER:	Unknown
Ian A. Cody, et al.	§		
	§	GROUP ART UNIT:	1764
SERIAL NO.: 10/678,689	§		
	§	ATTY. DOCKET NO.:	JJK-0330
FILED: October 3, 2003	§		
	§		
FOR: Process for Preparing	§		
Basestocks Having High			
VI			

Honorable Commissioner for Patents  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with Title 37, Sections 1.56, 1.97 and 1.98 of the Code of Federal Regulations, and pursuant to applicants' duty of candor and good faith toward the United States Patent and Trademark Office, the Examiner's attention is drawn to the art indicated on the attached PTO-1449 form.

This Information Disclosure Statement is being filed under:

- ☐ 37 CFR 1.97 (b) (1) within three months of the filing date of a national application other than a continued prosecution application.
- ☐ 37 CFR 1.97 (b) (2) within three months of the date of entry of the national stage in an international application.
- ☒ 37 CFR 1.97 (b) (3) before the mailing date of a first Office action on the merits.
- ☐ 37 CFR 1.97 (b) (4) before the mailing date of a first Office action after filing a Request for Continued Examination.
- ☐ 37 CFR 1.97 (c) before the mailing date of a final action or notice of allowance. This Information Disclosure Statement is accompanied by the appropriate statement under 37 CFR 1.97(e) and the fee set forth in 37 CFR 1.17 (p).
- ☐ 37 CFR 1.97 (d) prior to payment of the issue fee. This Information Disclosure Statement is accompanied by the appropriate statement under 37 CFR 1.97 (e) and the fee set forth in 37 CFR 1.17 (p).

Form PTO-1449 is attached to this paper listing documents submitted in the above-referenced case. It is respectfully requested that the Examiner consider these documents and return an initialed copy of each form to the attorney of record.

This disclosure statement should not be construed as a representation that a search has been made or that no other material information, as defined in 37 CFR § 1.56(a), exists.

The instant application was filed after June 30, 2003. In accordance with *1276 Official Gazette* 55, Aug. 5, 2003, which waives the requirement under 37 CFR 1.98 (a) (2) (i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication, applicants have not submitted copies of these documents. Copies of any cited foreign patent documents and/or non-patent literature are submitted herewith in accordance with 37 CFR 1.98 (a) (2).

We believe that this disclosure complies with the requirements of 37 CFR § 1.56, 1.97 and 1.98, and the Manual of Patent Examining Procedures § 609. If for any reason the Examiner finds that the disclosure or documents do not comply with these sections, notification is respectfully requested.

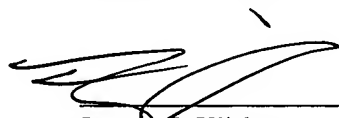
Applicants hereby notify the Examiner of the existence of applicants' co-pending U.S. patent application(s), which involve technology similar to this application:

10/678,693	10/678,690	10/678,680	10/678,684	10/678,433	10/678,434
10/678,435	10/266,369	10/678,457	10/678,469	10/678,547	10/678,468
10/266,341	10/266,342	10/266,391	10/652,391	10/266,368	10/266,344
10/652,390					

If there are any matters or issues outstanding, the Examiner is encouraged to contact the attorney of record at the telephone number listed below.

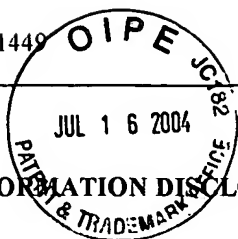
Respectfully submitted:

Date: 07/13/2009



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## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

JJK-0330

SERIAL NO.

10/678,689

APPLICANT

Ian A. Cody, et al.

FILING DATE

October 3, 2003

GROUP

1764

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,490,242	25/12/84	Oleck et al.	208	97	
	AB	4,610,778	09/09/86	Graven	208	89	
	AC	4,622,130	11/11/86	Stem	208	87	
	AD	4,911,821	27/03/90	Katzer et al.	208	27	
	AE	5,037,528	06/08/91	Garwood et al.	208	27	
	AF	6,099,719	08/08/00	Cody et al.	208	87	
	AG	6,103,101	15/08/00	Fragelli et al.	208	89	
	AH						
	AI						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AK	EP 0140468	08/05/85	Europe	C10G	67/04		
	AL	WO 99/41336	19/08/99	Europe	C10G	71/00		
	AM	WO 02/48283	20/06/02	Europe	C10G	1/04		
	AN							
	AO							

## OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)

	AP	
	AQ	

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next comment to applicant